

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. 1.8

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Nov. 7, 2001
Date

Ronald Abramson
Name(print)

Ronald Abramson
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: DeAddio et al.
Title: Object Oriented System for
Managing Complex Financial
Instruments
Filed: July 31, 1998
Ref. No.: 11021.0001

Examiner: D. Felten
Serial No.: 09/127,341

Group Art Unit: 2164

AMENDMENT

TO: Assistant Commissioner of Patents
Washington, DC 20231

Sir:

Applicant submits this response to the Office Action mailed May 7, 2001. Applicant requests a three-month extension and pays the corresponding fee herewith.

Please amend the application as follows:

IN THE SPECIFICATION

On page 2, line 3, insert --BACKGROUND OF THE INVENTION-- as a new heading below the Title and above the existing "FIELD OF THE INVENTION" heading.

On page 2, line 8, change the heading "BACKGROUND OF THE INVENTION" to --DESCRIPTION OF THE RELATED ART--.

On page 3, line 16, insert --BRIEF-- before "SUMMARY OF THE INVENTION".

Insert a page break on page 56, after line 16, so that the matter beginning with the paragraph "We claim:" starts at the top of the following page.